



TRANSMITTAL FORM  (to be used for all correspondence after initial filing)  Total Number of Pages in This Submission  Fee Transmittal Form Fee Attached Drawing(s)  After Final After Final After Final Aftidavits/declaration(s) Extension of Time Request First Named  Attorney Docket Number  Fee Attached Drawing(s)  After Allowance Communication to Group (Appeal Anterferences Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Anterferences Appeal Communication Terrorisonal Application Proprietary Information Status Letter  Other Enclosure(s) (please identify below)  Fostcard, Form 1439 and 2 References  References  Area Request for Refund CDNumber of CD(s)  Remarks  Reponse to Missing Parts/ Incomplete Application Response to Missing Parts/ under 37 CFR 1.52 or 1.53	(			Application		for use through 10/31/2002. OMB 0651-003' Office: U.S. DEPARTMENT OF COMMERCI nless it displays a valid OMB control number
(to be used for all correspondence after initief filing)    Status   Total Number of Pages in This Submission   4   Attorney Docket Number   988014C	TR			Filing Date	N	November 6, 2001
Examiner Name   Marian Knode		FORM		First Named	. A. Kendrick, et al.	
Total Number of Pages in This Submission   4	(to be used fo	or all correspondence afte	r initial filing)	Group Art Unit	1	700
ENCLOSURES (check all that apply)  Fee Transmittal Form (for an Application) Assignment Papers (for an Application) Appeal Communication to Group Appeal Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  After Final Petition Proprietary Information  Affidavits/declaration(s) Petition Proprietary Information  Extension of Time Request Power of Attorney, Revocation Change of Correspondence  Express Abandonment Request Request CD: Number of CD(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts				Examiner Name	N	Marian Knode
Fee Transmittal Form    Assignment Papers (for an Application)   Appeal Communication to Group   Appeal Communication to Group   Appeal Communication to Board of Appeals and Interferences   Appeal Communication to Group   Appeal Communication to Board of Appeals and Interferences   Appeal Communication to Group   After Final   Petition   Proprietary Information   Proprietary Information   Proprietary Information   Status Letter   Status Letter   Status Letter   Status Letter   Other Enclosure(s) (please identify below):    Express Abandonment Request   Request for Refund   CD, Number of CD(s)   Postcard, Form 1439 and 2   References   Postcard, Form 1439 and 2   References   CD, Number of CD(s)   Remarks   CD, Number of CD(s)   CD, Number	Total Number of	Pages in This Submission	1 4	Attorney Docket Nu	mber 9	98B014C
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	Firm	Mandi Milbank				
	or Individual name	Registration No. 50,	853			
or D. L. et al. 70.072	Signature	Manai B. M	lbank			
or Individual name Registration No. 50,853	Date	November 4, 2002				
or Individual name Registration No. 50,853  Signature Manai & Myllrank			CERTIFICA	TE OF MAILING		
or Individual name Registration No. 50,853  Signature Mandi f. Millrank		-	ing deposited with t	he United States Postal	Service with	sufficient postage as first class mail in November 4, 2002
or Individual name Registration No. 50,853  Signature Manu & Williamk  Date November 4, 2002  CERTIFICATE OF MAILING  I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in	Typed or printed na	ıme Mandi Milban	k		<del></del> .	
or Individual name  Registration No. 50,853  Signature  Mandi & Williamk  Date  November 4, 2002  CERTIFICATE OF MAILING  I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in	Signature	1/4. 11/	3. Millian		Date	November 4, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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TRANSMITTAL STATEMENT UN	OF INFORMATION DER 37 CFR § 1.97(b),	DISCLOSURE 1.97(c), or 1.97(d)	Attny. Docket No. 98B014C
In Re Application of	f: James Austin Ker	idrick et al.	
U.S. Serial No. 09/992,590	Filing Date November 6, 2001	Examiner Marian Knode	Group Art Unit 1700

# Address to: Assistant Commissioner for Patents Washington, D.C. 20231

### 37 CFR § 1.97(b) $\boxtimes$ The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114. 37 CFR § 1.97(c) The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); after three months of the date of entry of the national stage as set forth in § 1.491 in an international application; after the mailing of a first Office Action on the merits; or after the mailing of a first Office Action after the filing of a request for continued examination under § 1.114, but before the mailing date of: 1. a Final Action under § 1.113, 2. a Notice of Allowance under § 1.311, or 3. an action that otherwise closes prosecution in the application, and is accompanied by either: the fee as set forth in § 1.17(p), or the following statement under § 1.97(e)(1): each item of information confained in the information disclosure statement was first cited in any communication from a

#### 37 CFR § 1.97(d)

months prior to the filing of the information disclosure statement.

The Information Disclosure Statement submitted herewith is being filed after a Final Action under § 1.113, a Notice of Allowance under § 1.311, or an action that otherwise closes prosecution in the application, but before, or simultaneously with, the payment of the issue fee. Submitted herewith is the fee as set forth in § 1.17(p) and the following statement under 37 CFR § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

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Applicant hereby requests consideration of the Information Disclosure Statement, USPTO form 1449, submitted herewith.

∠ Legible copies of the references are enclosed.

This application is a:

ن البريوس

☐ Continuation/ Divisional, ☐ Continuation-in-Part,

of U.S.S.N. 09/955,729. Copies of the cited references are

enclosed; not enclosed. References are available in the parent application(s) if not enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment, to Deposit Account Number 05-1715. A duplicate copy of this form is enclosed.

11 04 62 (Date) Mandi B. W. Wank Mandi B Milbank Agent for Applicants

Registration No. 50,853

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Fax: 281-834-2495

#### **CERTIFICATE UNDER 37 C.F.R. §1.8(a)**

I hereby certify that I have a reasonable basis to expect that this correspondence will be deposited with the United States Postal Service as first class mail in an envelope with sufficient postage affixed and addressed to Commissioner of Patents and Trademarks, Washington, DC. 20231, on November 4, 2002.

Mandi B Milbank

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## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO. SERIAL NO. 98B014C 09/992,590 APPLICANT James Austin Kendrick, et al. FILING DATE GROUP November 6, 2001 1700

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